SERFF Tracking #: METP-130794138 State Tracking #:

Company Tracking #: 16-ATTPROF-01

State: District of Columbia Filing Company: National Liability & Fire Insurance Company

TOI/Sub-TOI: 17.2 Other Liability-Claims Made Only/17.2019 Professional Errors and Omissions Liability

Product Name: Lawyers Professional Liability **Project Name/Number:** OFAC Endt/16-ATTPROF-01

Filing at a Glance

Company: National Liability & Fire Insurance Company

Product Name: Lawyers Professional Liability

State: District of Columbia

TOI: 17.2 Other Liability-Claims Made Only

Sub-TOI: 17.2019 Professional Errors and Omissions Liability

Filing Type: Form

Date Submitted: 11/07/2016

SERFF Tr Num: METP-130794138

SERFF Status: Assigned

State Tr Num:

State Status:

Co Tr Num: 16-ATTPROF-01

Effective Date 01/01/2017

Requested (New):

Effective Date 01/01/2017

Requested (Renewal):

Author(s): Melissa Millican, Megan Beachy, Erin Wilson

Reviewer(s): Angela King (primary)

Disposition Date:
Disposition Status:
Effective Date (New):
Effective Date (Renewal):

SERFF Tracking #: METP-130794138 State Tracking #:

State: District of Columbia Filing Company: National Liability & Fire Insurance Company

Company Tracking #: 16-ATTPROF-01

TOI/Sub-TOI: 17.2 Other Liability-Claims Made Only/17.2019 Professional Errors and Omissions Liability

Product Name: Lawyers Professional Liability **Project Name/Number:** OFAC Endt/16-ATTPROF-01

General Information

Project Name: OFAC Endt Status of Filing in Domicile: Pending

Project Number: 16-ATTPROF-01 Domicile Status Comments:
Reference Organization: n/a Reference Number: n/a
Reference Title: n/a Advisory Org. Circular: n/a

Filing Status Changed: 11/10/2016

State Status Changed: Deemer Date:

Created By: Megan Beachy Submitted By: Megan Beachy

Corresponding Filing Tracking Number:

Filing Description:

National Liability & Fire Insurance Company respectfully submits for your review and consideration the attached form for the Lawyers Professional Liability Program. The proposed effective date for this filing is January 1, 2017

Company and Contact

Filing Contact Information

Melissa Millican, Paralegal melissa.millican@medpro.com

5814 Reed Road 260-486-0838 [Phone]

Fort Wayne, IN 46835

Filing Company Information

(This filing was made by a third party - medicalprotectivecompanytpf)

National Liability & Fire Insurance CoCode: 20052 State of Domicile: Connecticut

Company Group Code: 31 Company Type: 3024 Harney Street Group Name: Berkshire Hathaway State ID Number:

Omaha, NE 68131 Inc

(260) 486-0838 ext. [Phone] FEIN Number: 36-2403971

Filing Fees

Fee Required? No Retaliatory? No

Fee Explanation:

SERFF Tracking #: METP-130794138 State Tracking #: Company Tracking #: 16-ATTPROF-01

State: District of Columbia Filing Company: National Liability & Fire Insurance Company

TOI/Sub-TOI: 17.2 Other Liability-Claims Made Only/17.2019 Professional Errors and Omissions Liability

Product Name:Lawyers Professional LiabilityProject Name/Number:OFAC Endt/16-ATTPROF-01

Form Schedule

Item	Schedule Item	Form	Form	Edition	Form	Form	Action Specific	Readability	
No.	Status	Name	Number	Date	Type	Action	Data	Score	Attachments
1		Economic Sanctions Exclusion Endorsement	ATY-2015- 00-0116	01/2016	END	New			ATY-2015-00- 0116 - Economic Sanctions Exclusion.pdf

Form Type Legend:

ABE	Application/Binder/Enrollment	ADV	Advertising
BND	Bond	CER	Certificate
CNR	Canc/NonRen Notice	DEC	Declarations/Schedule
DSC	Disclosure/Notice	END	Endorsement/Amendment/Conditions
ERS	Election/Rejection/Supplemental Applications	ОТН	Other

ECONOMIC SANCTIONS EXCLUSION ENDORSEMENT

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

In consideration of the payment of premium, it is understood and agreed that the following endorsement is attached to and modifies the Policy.

The following exclusion is added to paragraph 2 of Section E., EXCLUSIONS, of the Policy:

Where coverage for such **claim** or Supplementary Payments would result in violation of any U.S. economic trade sanctions such as, but not limited to, those sanctions administered and enforced by the U.S. Treasury Department's Office of Foreign Assets Control. Similarly, any coverage relating to or referred to in any certificates or other evidences of insurance, or any **claim** or Supplementary Payments that would be in violation of U.S. economic or trade sanctions as described above shall be null and void.

All other terms and conditions of the policy remain unchanged.

SERFF Tracking #: METP-130794138 State Tracking #: Company Tracking #: 16-ATTPROF-01

State: District of Columbia Filing Company: National Liability & Fire Insurance Company

TOI/Sub-TOI: 17.2 Other Liability-Claims Made Only/17.2019 Professional Errors and Omissions Liability

Product Name:Lawyers Professional LiabilityProject Name/Number:OFAC Endt/16-ATTPROF-01

Supporting Document Schedules

Satisfied - Item:	Readability Certificate	
Comments:	We request that the Department waive the readability score requirements for this program since the insureds are all professionals who should be expected to read at a level higher than that contemplated under a 40 score.	
Attachment(s):		
Item Status:		
Status Date:		
Satisfied - Item:	Consulting Authorization	
Comments:	attached	
Attachment(s):	Med Pro filing authorization _ 2016.pdf	
Item Status:		
Status Date:		
Bypassed - Item:	Copy of Trust Agreement	
Bypass Reason:	n/a	
Attachment(s):		
Item Status:		
Status Date:		
Bypassed - Item:	Expedited SERFF Filing Transmittal Form	
Bypass Reason:	n/a	
Attachment(s):		
Item Status:		
Status Date:		
Satisfied - Item:	Memo	
Comments:	attached	
Attachment(s):	DC LPL Filing Memo.pdf	
Item Status:		
Status Date:		

THIRD PARTY FILING AUTHORIZATION

I, <u>Trent C. Heinemeyer</u>, a duly authorized officer of National Liability & Fire Insurance Company (the "Insurer"), certifies on behalf of the Insurer that The Medical Protective Company is duly and fully authorized to make rate and policy form regulatory filings on the Insurer's behalf for the following line of business in each state:

TOI: 17.0 Other Liability - Occurrence & Claims Made

TOI: 17.2 Other Liability-Claims Made Only

Sub-TOI: 17.0019 Professional Errors & Omissions Liability Sub-TOI: 17.2019 Professional Errors and Omissions Liability

This authorization shall include acting as the point of contact for the state insurance department in each state.

This authorization shall remain in effect until terminated in writing by Insurer, but, in any event, will expire no later than two years from its original date and shall not survive any change in ownership of either the Insurer or The Medical Protective Company. This authorization is not transferable by The Medical Protective Company to any third party.

I understand that the Insurer remains ultimately responsible for the filings made on the Insurer's behalf by The Medical Protective Company.

Trent C. Heinemeyer, Assistant Secretary

Insurer's Address 1314 Douglas Street Suite 1400
City Omaha State Nebraska Zip Code 68102-1944

10/20/2016 Date

Insurer - Contact person responsible for filings, if different from above

Name and Title Daniel M. Pongratz, Supervisor, Industry & Regulatory Relations Unit

Address 1314 Douglas Street, Suite 1400

City Omaha State Nebraska Zip Code 68102-1944

Email <u>dmpongratz@nationalindemnity.com</u>

Direct Telephone and Fax Number Ph: (402) 916-3000; Fax: (402) 916-3445

Third Party Filer - Contact person responsible for filings

Name and Title Melissa Millican, Paralegal

Address The Medical Protective Company, 5814 Reed Road

City Fort Wayne State Indiana Zip Code 46835

Email melissa.millican@medpro.com

Direct Telephone and Fax Number Ph: (260) 486-0838; Fax: (260) 486-0784

National Liability & Fire Insurance Company Lawyers Professional Liability

DISTRICT OF COLUMBIA

FORM FILING

National Liability & Fire Insurance Company respectfully submits for your review and consideration the attached form for the Lawyers Professional Liability Program. The proposed effective date for this filing is January 1, 2017.

ATY-2015-00-0116 Economic Sanctions Exclusion Endorsement

This form excludes coverage for claims that violate U.S. economic trade sanctions. This form is mandatory and has no rate impact.